Consultation on the REF 2021 Codes of practice complaints & investigations process
Annex A – Consultation Questions
Annex A – consultation questions

Q1 – Investigations
a. Overall, the approach set out in this section is appropriate
   • Strongly Agree
   • Agree
   • Neither Agree nor disagree
   • Disagree
   • Strongly disagree

b. Overall, the approach set out in this section is clear
   • Strongly Agree
   • Agree
   • Neither Agree nor disagree
   • Disagree
   • Strongly disagree

c. Where it adds value, please provide your rationale for your answers

Q2 – Individual Complaints
a. Overall, the approach set out in this section is appropriate
   • Strongly Agree
   • Agree
   • Neither Agree nor disagree
   • Disagree
   • Strongly disagree

b. Overall, the approach set out in this section is clear
   • Strongly Agree
   • Agree
   • Neither Agree nor disagree
   • Disagree
   • Strongly disagree

d. Where it adds value, please provide your rationale for your answers

Q3 – Complaints out of scope (paragraph 29)
a. Overall, the criteria for complaints out of scope are appropriate
   • Strongly Agree
   • Agree
   • Neither Agree nor disagree
   • Disagree
   • Strongly disagree

b. Overall, the criteria for complaints out of scope are clear
   • Strongly Agree
   • Agree
   • Neither Agree nor disagree
Q4 – Systemic Breach

a. Overall, the approach set out in this section is **appropriate**
   - Strongly Agree
   - Agree
   - Neither Agree nor disagree
   - Disagree
   - Strongly disagree

b. Overall, the approach set out in this section is **clear**
   - Strongly Agree
   - Agree
   - Neither Agree nor disagree
   - Disagree
   - Strongly disagree

c. Where it adds value, please provide your rationale for your answers

d. Please propose any additional criteria for complaints that you feel should be out of scope

Q5 - Stage 1: REF Team Process

a. Overall, the process set out in this section is **appropriate**
   - Strongly Agree
   - Agree
   - Neither Agree nor disagree
   - Disagree
   - Strongly disagree

b. Overall, the process set out in this section is **clear**
   - Strongly Agree
   - Agree
   - Neither Agree nor disagree
   - Disagree
   - Strongly disagree

c. Where it adds value, please provide your rationale for your answers

Q6 – Stage 2: Funding Body Process

a. Overall, the process set out in this section is **appropriate**
   - Strongly Agree
   - Agree
   - Neither Agree nor disagree
   - Disagree
   - Strongly disagree
b. Overall, the process set out in this section is **clear**
   - Strongly Agree
   - Agree
   - Neither Agree nor disagree
   - Disagree
   - Strongly disagree

c. Where it adds value, please provide your rationale for your answers

**Q7 – Stage 3: Appeals process**

a. Overall, the process set out in this section is **appropriate**
   - Strongly Agree
   - Agree
   - Neither Agree nor disagree
   - Disagree
   - Strongly disagree

b. Overall, the process set out in this section is **clear**
   - Strongly Agree
   - Agree
   - Neither Agree nor disagree
   - Disagree
   - Strongly disagree

c. Where it adds value, please provide your rationale for your answers

**Q8 - Remedies**

a. Overall, the rationale set out regarding remedies is **appropriate**
   - Strongly Agree
   - Agree
   - Neither Agree nor disagree
   - Disagree
   - Strongly disagree

b. Overall, the rationale set out regarding remedies is **clear**
   - Strongly Agree
   - Agree
   - Neither Agree nor disagree
   - Disagree
   - Strongly disagree

c. Overall, the specific example remedies set out in Table 1 are **appropriate**
   - Strongly Agree
   - Agree
   - Neither Agree nor disagree
   - Disagree
d. Overall, the specific example remedies set out in Table 1 are **clear**
   - Strongly Agree
   - Agree
   - Neither Agree nor disagree
   - Disagree
   - Strongly disagree

e. Where it adds value, please provide your rationale for your answers

e. Are there additional remedies that should be considered by the funding bodies?

**Q9 – Complaint and Investigation Outcomes**

a. Overall, the approach set out in this section is **appropriate**
   - Strongly Agree
   - Agree
   - Neither Agree nor disagree
   - Disagree
   - Strongly disagree

b. Overall, the approach set out in this section is **clear**
   - Strongly Agree
   - Agree
   - Neither Agree nor disagree
   - Disagree
   - Strongly disagree

c. Where it adds value, please provide your rationale for your answers

**Q10 – Timelines**

a. Overall, proposed timelines are **appropriate**
   - Strongly Agree
   - Agree
   - Neither Agree nor disagree
   - Disagree
   - Strongly disagree

b. Overall, proposed timelines are **clear**
   - Strongly Agree
   - Agree
   - Neither Agree nor disagree
   - Disagree
   - Strongly disagree

b. Where it adds value, please provide your rationale for your answers