



**SFC Guidance**

**Annex B**

**Guidance for the development of University Outcome Agreements: 2018-19  
to 2020-21**

**Annex B: Technical Guidance on SFC's measures of progress**

## Contents

|   |    |
|---|----|
| Introduction .....  | 4  |
| General Notes .....   | 7  |
| HESA definitions .....  | 7  |
| SFC Standard Population .....   | 7  |
| Undergraduate level.....  | 7  |
| Institutional Datasets.....   | 7  |
| Metric for measures .....   | 8  |
| Scottish Index of Multiple Deprivation.....   | 8  |
| Scottish Government strategic priority: Access to education for people from the widest range of backgrounds, including implementation of the recommendations of CoWA and addressing gender balance.....   | 9  |
| Measure 1: The number and proportion of Scotland-domiciled learners articulating from college to degree level courses with advanced standing.....   | 9  |
| Measure 2a: The number and proportion of Scotland-domiciled undergraduate entrants from the 20% most deprived postcodes .....   | 10 |
| Measure 2b: The number and proportion of Scotland-domiciled undergraduate entrants from the 20-40% most deprived postcodes .....  | 11 |
| Measure 2c: The number and proportion of Scotland-domiciled full-time first degree entrants from the 20% most deprived postcodes (COWA) .....   | 12 |
| Measure 3: The number and proportion of Scotland-domiciled undergraduate entrants from the SHEP schools .....   | 13 |
| SHEP schools for the 2016-17 cycle .....  | 14 |
| Measure 4: The number and proportion of Scotland-domiciled undergraduate entrants by different protected characteristic groups and care experienced students .....  | 16 |
| Measure 5: The number and proportion of full-time first year Scotland-domiciled entrants from different protected characteristic groups and care experienced students returning to study in year two.....   | 17 |
| Scottish Government strategic priority: High quality learning in a learning system which is seamlessly connected for the learner, including learning which prepares people well for the world of work, prioritising provision that meets known skills gaps in the economy ..... | 19 |
| Measure 6: The number and proportion of full-time first year Scotland-domiciled undergraduate entrants returning to study in year two.....  | 19 |

|  |    |
|--|----|
| Measure 7: The difference (+/-) from the individual institution's benchmark figure for students satisfied with the overall quality of their course of study in the National Student Survey ..... | 20 |
| Measure 8: The number and proportion of Scotland-domiciled undergraduate entrants to STEM courses.....   | 21 |
| Measure 9a: The number and proportion of Scotland-domiciled undergraduates entering positive destinations .....  | 23 |
| Measure 9b: The number and proportion of Scotland-domiciled full-time first degree respondents entering professional occupations .....   | 24 |
| Scottish government priority: internationally competitive and impactful research ...   | 25 |
| Measure 10: Number of research postgraduate students .....   | 25 |
| Measure 11: Amount and percentage share of income from the UK Research Councils.....   | 25 |
| Measure 12: Total research income from all sources.....  | 25 |
| Scottish Government priority: effective knowledge exchange and innovation including excellent collaboration between universities and industry .....  | 26 |
| Measure 13: The number of SFC Innovation Vouchers (IVs), Follow-on IVs and H2020 IVs .....   | 26 |
| Scottish Government priority: ensuring provision of quality learning in Scottish higher education institutions, i.e. HE strategic futures, Quality Assurance and HE governance .....             | 27 |
| Measure 14: Gross carbon footprint (3 year period) .....   | 27 |

## Introduction

1. This Technical Guidance provides the definitions for each of the measures of progress listed in Table 2 of the main SFC University OA Guidance 2018-19. It is provided to enable universities to replicate SFC baseline and trend data as the basis for negotiating milestones and targets for improvement.
2. For each measure the document provides:

|  |   |   |
|--|---|---|
| <b>Aim:</b> The SFC's strategic aim under which this measure falls |   |   |
| <b>Measure:</b> The number and description of the measure          |   |   |
| <b>Table:</b>  | If the figure corresponding to this measure is available in a published table this gives the title of the relevant table. |   |
| <b>Numerator</b>   | If the measure is a proportion these specify the numerators and denominators to be used in the calculation                |   |
| <b>Denominator</b>   |   |   |
| <b>Data</b>  | This provides further information on the data source used to calculate the measure.                                       |   |
| <b>Term</b>  | <b>Definition / Description</b>   | <b>Notes</b>                                    |
| A term used that requires further detail                           | A definition or description of the term   | Further information on the term e.g. HESA codes |

**Outcome Agreement measures of progress: REVISIONS for 2017-18**

| 2017-18 Outcome Agreement Measures |  | 2018-19 Outcome Agreement Measures |  | Revision made                          |
|------------------------------------|--|------------------------------------|--|--|
| Measure 1                          | The number and proportion of Scotland-domiciled learners articulating from college to degree level courses with advanced standing  | Measure 1                          | The number and proportion of Scotland-domiciled learners articulating from college to degree level courses with advanced standing  | <b>No change</b>                       |
| Measure 2a                         | The number and proportion of Scotland-domiciled undergraduate entrants from the 20% most deprived postcodes  | Measure 2a                         | The number and proportion of Scotland-domiciled undergraduate entrants from the 20% most deprived postcodes  | <b>No change</b>                       |
| Measure 2b                         | The number and proportion of Scotland-domiciled undergraduate entrants from the 20-40% most deprived postcodes   | Measure 2b                         | The number and proportion of Scotland-domiciled undergraduate entrants from the 20-40% most deprived postcodes   | <b>No change</b>                       |
|                                    |  | Measure 2c                         | The number and proportion of Scotland-domiciled full-time first degree entrants from the 20% most deprived postcodes   | <b>Measure added in line with COWA</b> |
| Measure 3                          | The number and proportion of Scotland-domiciled undergraduate entrants from the SHEP schools   | Measure 3                          | The number and proportion of Scotland-domiciled undergraduate entrants from the SHEP schools   | <b>No change</b>                       |
| Measure 4                          | The number and proportion of Scotland-domiciled undergraduate entrants by different protected characteristic groups and care experienced students  | Measure 4                          | The number and proportion of Scotland-domiciled undergraduate entrants by different protected characteristic groups and care experienced students  | <b>No change</b>                       |
| Measure 5                          | The number and proportion of <b>full-time</b> first year Scotland-domiciled entrants from different protected characteristic groups and care experienced students returning to study in year two | Measure 5                          | The number and proportion of <b>full-time</b> first year Scotland-domiciled entrants from different protected characteristic groups and care experienced students returning to study in year two | <b>No change</b>                       |
| Measure 6                          | The number and proportion of full-time first year Scotland-domiciled undergraduate entrants returning to study in year two   | Measure 6                          | The number and proportion of full-time first year Scotland-domiciled undergraduate entrants returning to study in year two   | <b>No change</b>                       |

|            |   |            |   |                                     |
|------------|---|------------|---|-------------------------------------|
| Measure 7  | The difference (+/-) from the individual institution benchmark figure for students satisfied with the overall quality of their course of study in the National Student Survey | Measure 7  | The difference (+/-) from the individual institution benchmark figure for students satisfied with the overall quality of their course of study in the National Student Survey | <b>No change</b>                    |
| Measure 8  | The number and proportion of Scotland-domiciled undergraduate entrants to STEM courses  | Measure 8  | The number and proportion of Scotland-domiciled undergraduate entrants to STEM courses  | <b>No change</b>                    |
| Measure 9a | The number and proportion of Scotland-domiciled graduates entering positive destinations  | Measure 9a | The number and proportion of Scotland-domiciled graduates entering positive destinations  | <b>No change</b>                    |
| Measure 9b | The number and proportion of Scotland-domiciled undergraduates entering professional occupations  | Measure 9b | The number and proportion of Scotland-domiciled full-time first degree respondents entering professional occupations  | <b>Revision to populations used</b> |
| Measure 10 | Number of research postgraduate students  | Measure 10 | Number of research postgraduate students  | <b>No change</b>                    |
| Measure 11 | Amount and percentage share of income from the research councils  | Measure 11 | Amount and percentage share of income from the research councils  | <b>No change</b>                    |
| Measure 12 | Total research income from all sources  | Measure 12 | Total research income from all sources  | <b>No change</b>                    |
| Measure 14 | Individual HEI UIF progress measures and sector wide reporting  | Measure 14 | Individual HEI UIF progress measures and sector wide reporting  | <b>No change</b>                    |
| Measure 13 | The number of SFC Innovation Vouchers (IVs), Follow-on IVs and H2020 IVs  | Measure 13 | The number of SFC Innovation Vouchers (IVs), Follow-on IVs and H2020 IVs  | <b>No change</b>                    |
| Measure 15 | Gross carbon footprint (3 year period)  | Measure 15 | Gross carbon footprint (3 year period)  | <b>No change</b>                    |

## General Notes

### HESA definitions

3. In this document where HESA fields have been used, the fields and descriptions have been taken from the Field List and details have been documented in the most current Student data collection documentation available at the time of writing this guidance i.e. *Student Record 2016-17*.
4. [Full details of the field list and HESA definitions](#) are available on the HESA website. Information on the [HESA derived fields used in this guidance](#) will be available on the above webpage under *Derived field specifications*.

### SFC Standard Population

5. The SFC dataset used to produce tables, where 'SFC Standard Population' is stated, is derived from the CORE files the institutions receive from HESA. SFC adjust the CORE files to exclude students not counted in our own standard population i.e. EXCLUDING those who are:
  - Incoming exchange students.
  - 'Writing up' students.
  - 'Sabbatical' students.
  - 'Dormant' students.
  - Students for whom the 'date left institution or completed programme of study' is before 1 August of academic year.

### Undergraduate level

6. For Measures 1,2,3,4,5,6,8, and 9 an Undergraduate is defined as a student undertaking a first degree or an HNC/HND/Diploma/Certificate of Higher Education, using the following codes:
  - **Undergraduate** - First degree (**XLEV501**=3) AND Selected Sub-degree: HNC/D or Certificate or Diploma of HE (**COURSEAIM** in 'C20','C30','J20','J26','J30').
  - This definition covers courses with **COURSEAIM** in:  
C20, C30                      H00, H11, H16, H18, H22, H23, H50,  
I00, I11, I16                  J20, J26, J30                                      M22, M26, M28.
  - **XLEV501** is a HESA derived field.

### Institutional Datasets

SFC can provide a dataset of your own institutions students included within the SFC Standard Undergraduate Level population in order to allow institutions to easily match their students in the OA population. For further details please contact: Cathy Mitchell, Senior Policy/Analysis Officer, [cmitchell@sfc.ac.uk](mailto:cmitchell@sfc.ac.uk)

## Metric for measures

7. HESA's Student Records use the term '*instance*' to describe a student's engagement within an institution. A student may enrol on more than one course and therefore have more than one instance. It is *instances* that are used here. This approach is consistent with that of HESA. It is *instances* that are used in the count of the numerator and denominator population for *Measures 1,2,3,4,5,6 and 8*.
8. The measures will therefore count as the number of students all student instances: young, mature, full-time and part-time (unless explicitly stated e.g. *Measure 5 and Measure 6*), providing the student instance fulfils the criteria as outlined in the definition for the numerator and denominator.

## Scottish Index of Multiple Deprivation

9. The [Scottish Index of Multiple Deprivation \(SIMD\)](#) is provided by the Scottish Government (SG). [Postcode files linking postcodes to SIMD rank](#) are available on the National Records of Scotland (NRS) website via NRS Postcode Extracts in the Geography web page. Using the student postcode (HESA field: *POSTCODE*) a student is matched to a *datazone* and then to a SIMD rank. The ranks are divided into quintiles.
10. Aspirations set for 2018-19 will be based on *SIMD 2016* and will follow the Scottish Government standard method of calculating quintiles (rather than a separate SFC population weighted method as previously).
11. In this guidance MD20 = Quintile 1 and MD40 = Quintile 1 + Quintile 2
12. Details on the SIMD 2016 ranks are detailed below,

| <b>SIMD 2016</b>   |                       |           |
|--|-----------------------|-----------|
| <b>Quintiles split the datazones into 5 groups, each containing 20% of Scotland's population</b> |                       |           |
|  | <b>SIMD 2016 Rank</b> |           |
|  | <b>From</b>           | <b>To</b> |
| 1 - 20% most deprived  | 1                     | 1,395     |
| 2  | 1,396                 | 2,790     |
| 3  | 2,791                 | 4,185     |
| 4  | 4,186                 | 5,580     |
| 5 - 20 % least deprived  | 5,581                 | 6,976     |

**Scottish Government strategic priority: Access to education for people from the widest range of backgrounds, including implementation of the recommendations of CoWA and addressing gender balance**

**Measure 1: The number and proportion of Scotland-domiciled learners articulating from college to degree level courses with advanced standing**

|                          |  |
|--------------------------|--|
| <b>Table</b>             | HN Scotland-domiciled student entry from Scotland's colleges to Scotland's HEIs: HEI summary table   |
| <b>Numerator</b>         | The number of Scotland-domiciled HN entrants from Scottish colleges to undergraduate programmes with advanced standing   |
| <b>Denominator</b>       | Scotland-domiciled HN entrants from Scottish colleges to undergraduate programmes  |
| <b>Data</b>              | National Articulation Database (compiled from data supplied via FES, HESA, SQA)  |
| <b>Term</b>              | <b>Definition / Description</b>  |
| <b>Advanced Standing</b> | Entry from HNC/D to degree programme with full academic credit for HN award. Typically entry from HNC to year 2 and HND to year 3 of full-time degree. Includes entry with full credit to part-time courses. |

## Measure 2a: The number and proportion of Scotland-domiciled undergraduate entrants from the 20% most deprived postcodes

|                           |  |  |
|---------------------------|--|--|
| <b>Numerator</b>          | The number of Scotland-domiciled undergraduate entrants from the 20% (MD20) most deprived areas  |  |
| <b>Denominator</b>        | The number of Scotland-domiciled undergraduate entrants ( <b>excluding those with no known / matching postcode</b> )   |  |
| <b>Data</b>               | SFC Standard Population  |  |
| <b>Term</b>               | <b>Definition / Description</b>  | <b>Notes</b>   |
| <b>Denominator</b>        | <p>All Scotland-domiciled undergraduate entrant students in the SFC Standard Population, so <b>EXCLUDING</b> those who are:</p> <ul style="list-style-type: none"> <li>• Incoming exchange students</li> <li>• 'Writing up' students</li> <li>• 'Sabbatical' students</li> <li>• 'Dormant' students</li> <li>• Students for whom the 'date left institution or completed programme of study' is before 1 August of academic year.</li> </ul> | <p>Incoming exchange students - <b>EXCHANGE PROGRAMMES (EXCHANGE) = 4, G, Z</b><br/> Writing up student - <b>MODE OF STUDY (MODE) = 43, 44</b><br/> Sabbatical' students - <b>MODE OF STUDY (MODE) = 51</b><br/> Dormant' students - <b>MODE OF STUDY (MODE) = 63, 64</b><br/> Students for whom the 'date left institution or completed programme of study' is before 1 August of academic year - <b>END DATE OF INSTANCE (ENDDATE) IS BEFORE '01AUGXXXX'</b></p> |
| <b>Numerator</b>          | All Scotland-domiciled undergraduate entrant students (excluding those as above) with a valid postcode from an MD20 area.  | <b>MD20</b> - The 20% most deprived areas<br>See <b>Scottish Index of Multiple Deprivation</b> in section <b>General Notes</b>   |
| <b>Scotland-domiciled</b> | Scotland-domiciled as recorded on HESA.  | Scotland-domiciled - <b>XDOMHM01 = 2</b><br><b>XDOMHM01</b> is a HESA derived field.   |
| <b>Undergraduate</b>      | Any student undertaking an undergraduate course.   | See <b>Undergraduate level</b> in <b>General Notes</b> section   |
| <b>Entrant</b>            | An entrant is a student deemed to have started their instance in the academic year. A student may have previously attended the institution but is still considered an entrant if they are starting a new instance.   | Entrant - <b>START DATE OF INSTANCE (COMDATE) IS AFTER OR EQUAL TO '01AUGXXXX'</b>   |

**Measure 2b: The number and proportion of Scotland-domiciled undergraduate entrants from the 20-40% most deprived postcodes**

|                           |  |  |
|---------------------------|--|--|
| <b>Numerator</b>          | The number of Scotland-domiciled undergraduate entrants from the 40% (MD40) most deprived areas  |  |
| <b>Denominator</b>        | The number of Scotland-domiciled undergraduate entrants ( <b>excluding those with no known / matching postcode</b> )   |  |
| <b>Data</b>               | SFC Standard Population  |  |
| <b>Term</b>               | <b>Definition / Description</b>  | <b>Notes</b>   |
| <b>Denominator</b>        | <p>All Scotland-domiciled undergraduate entrant students in the SFC Standard Population, so <b>EXCLUDING</b> those who are:</p> <ul style="list-style-type: none"> <li>• Incoming exchange students</li> <li>• 'Writing up' students</li> <li>• 'Sabbatical' students</li> <li>• 'Dormant' students</li> <li>• Students for whom the 'date left institution or completed programme of study' is before 1 August of academic year.</li> </ul> | <p>Incoming exchange students - <b>EXCHANGE PROGRAMMES (EXCHANGE) = 4, G, Z</b><br/> Writing up student - <b>MODE OF STUDY (MODE) = 43, 44</b><br/> Sabbatical' students - <b>MODE OF STUDY (MODE) = 51</b><br/> Dormant' students - <b>MODE OF STUDY (MODE) = 63, 64</b><br/> Students for whom the 'date left institution or completed programme of study' is before 1 August of academic year - <b>END DATE OF INSTANCE (ENDDATE) IS BEFORE '01AUGXXXX'</b></p> |
| <b>Numerator</b>          | All Scotland-domiciled undergraduate entrant students (excluding those as above) with a valid postcode from an MD40 area.  | <p><b>MD40</b> - The 20-40% most deprived areas (not containing the 20% most deprived areas)<br/> See <b>Scottish Index of Multiple Deprivation</b> in section <b>General Notes</b></p>  |
| <b>Scotland-domiciled</b> | Scotland-domiciled as recorded on HESA.  | Scotland-domiciled - <b>XDOMHM01 = 2</b><br><b>XDOMHM01</b> is a HESA derived field.   |
| <b>Undergraduate</b>      | Any student undertaking an undergraduate course.   | See <b>Undergraduate level</b> in <b>General Notes</b> section   |
| <b>Entrant</b>            | An entrant is a student deemed to have started their instance in the academic year. A student may have previously attended the institution but is still considered an entrant if they are starting a new instance.   | Entrant - <b>START DATE OF INSTANCE (COMDATE) IS AFTER OR EQUAL TO '01AUGXXXX'</b>   |

**Measure 2c: The number and proportion of Scotland-domiciled full-time first degree entrants from the 20% most deprived postcodes (COWA)**

|                           |  |  |
|---------------------------|--|--|
| <b>Numerator</b>          | The number of Scotland-domiciled full-time first degree entrants from the 20% (MD20) most deprived areas   |  |
| <b>Denominator</b>        | The number of Scotland-domiciled full-time first degree entrants ( <b>excluding those with no known / matching postcode</b> )  |  |
| <b>Data</b>               | HESA Standard Population   |  |
| <b>Term</b>               | <b>Definition / Description</b>  | <b>Notes</b>   |
| <b>Denominator</b>        | All Scotland-domiciled full-time first degree entrant students in the HESA Standard Population   |  |
| <b>Numerator</b>          | All Scotland-domiciled undergraduate entrant students (excluding those as above) with a valid postcode from an MD20 area.  | <b>MD20</b> - The 20% most deprived areas<br>See <b>Scottish Index of Multiple Deprivation</b> in section <b>General Notes</b> |
| <b>Scotland-domiciled</b> | Scotland-domiciled as recorded on HESA.  | Scotland-domiciled - <b>XDOMHM01</b> = 2<br><b>XDOMHM01</b> is a HESA derived field.   |
| <b>Undergraduate</b>      | Any student undertaking an full-time first degree course.  | Full-time: <b>xmode01</b> in (1,2)<br>First degree: <b>xlev501</b> = 3   |
| <b>Entrant</b>            | An entrant is a student deemed to have started their instance in the academic year. A student may have previously attended the institution but is still considered an entrant if they are starting a new instance. | Entrant - <b>START DATE OF INSTANCE (COMDATE) IS AFTER OR EQUAL TO '01AUGXXXX'</b>   |

### Measure 3: The number and proportion of Scotland-domiciled undergraduate entrants from the SHEP schools

|                           |  |  |
|---------------------------|--|--|
| <b>Numerator</b>          | The number of Scotland-domiciled undergraduate entrants from the SHEP schools  |  |
| <b>Denominator</b>        | The number of Scotland-domiciled undergraduate entrants  |  |
| <b>Data</b>               | SFC Standard Population  |  |
| <b>Term</b>               | <b>Definition / Description</b>  | <b>Notes</b>   |
| <b>Denominator</b>        | <p>All Scotland-domiciled undergraduate entrant students in the SFC Standard Population, so <b>EXCLUDING</b> those who are:</p> <ul style="list-style-type: none"> <li>• Incoming exchange students</li> <li>• 'Writing up' students</li> <li>• 'Sabbatical' students</li> <li>• 'Dormant' students</li> <li>• Students for whom the 'date left institution or completed programme of study' is before 1 August of academic year.</li> </ul> | <p>Incoming exchange students - <b>EXCHANGE PROGRAMMES (EXCHANGE) = 4, G, Z</b><br/>           Writing up student - <b>MODE OF STUDY (MODE) = 43, 44</b><br/>           Sabbatical' students - <b>MODE OF STUDY (MODE) = 51</b><br/>           Dormant' students - <b>MODE OF STUDY (MODE) = 63, 64</b><br/>           Students for whom the 'date left institution or completed programme of study' is before 1 August of academic year - <b>END DATE OF INSTANCE (ENDDATE) IS BEFORE '01AUGXXXX'</b></p> |
| <b>Numerator</b>          | All Scotland-domiciled undergraduate entrant students from a SHEP school.  | See list of <b>SHEP schools</b> below<br>Variable <b>PREVINST</b> used to identify a student from a SHEP school.   |
| <b>Scotland-domiciled</b> | Scotland-domiciled as recorded on HESA.  | Scotland-domiciled - <b>XDOMHM01 = 2</b><br><b>XDOMHM01</b> is a HESA derived field.   |
| <b>Undergraduate</b>      | Any student undertaking an undergraduate course.   | See <b>Undergraduate level</b> in <b>General Notes</b> section   |
| <b>Entrant</b>            | An entrant is a student deemed to have started their instance in the academic year. A student may have previously attended the institution but is still considered an entrant if they are starting a new instance.   | Entrant - <b>START DATE OF INSTANCE (COMDATE) IS AFTER OR EQUAL TO '01AUGXXXX'</b>   |

## ***SHEP schools for the 2016-17 cycle<sup>1</sup>***

| <b>SHEP Region: ASPIRE North</b> |                                    |
|----------------------------------|------------------------------------|
| <b>Local Authority</b>           | <b>School</b>                      |
| Aberdeen City                    | Kincorth Academy                   |
|                                  | Northfield Academy                 |
|                                  | St Machar Academy                  |
|                                  | Torry Academy                      |
| Highland                         | Alness Academy                     |
|                                  | Inverness High School              |
|                                  | Kinlochleven High School           |
|                                  | Wick High School                   |
| Moray                            | Elgin High School                  |
|                                  | Lossiemouth High School            |
| <b>SHEP Region: LEAPS</b>        |                                    |
| Clackmannanshire Council         | Alloa Academy                      |
|                                  | Lornshill Academy                  |
| Edinburgh City Council           | Castlebrae Community High School   |
|                                  | Craigroyston Community High School |
|                                  | Drummond Community High School     |
|                                  | Forrester High School              |
|                                  | Gracemount High School             |
|                                  | Leith Academy                      |
|                                  | Liberton High School               |
|                                  | Tynecastle High School             |
| Wester Hailes Education Centre   |                                    |
| Falkirk Council                  | Grangemouth High School            |
| Midlothian Council               | Newbattle Community High School    |
| Scottish Borders Council         | Eyemouth High School               |
|                                  | Hawick High School                 |
| Stirling Council                 | Bannockburn High School            |
| West Lothian Council             | Armada Academy                     |
|                                  | Inveralmond Community HS           |
|                                  | Whitburn Academy                   |
| <b>SHEP Region: Focus West</b>   |                                    |
| Argyll and Bute                  | Islay High School                  |
| Dumfries and Galloway Council    | Maxwelltown High                   |
|                                  | Sanquhar Academy                   |
| East Ayrshire Council            | Doon Academy                       |
| Glasgow City Council             | All Saints Secondary               |
|                                  | Castlemilk High                    |
|                                  | Drumchapel High                    |
|                                  | Eastbank Academy                   |
|                                  | Govan High                         |

<sup>1</sup> SFC aims to confirm this list for 2017-18 and onwards as soon as possible.

|                              |                                      |
|------------------------------|--------------------------------------|
|                              | Hillpark Secondary School            |
|                              | John Paul Academy                    |
|                              | Lochend Community High School        |
|                              | Rosshall Academy                     |
|                              | Smithycroft Secondary                |
|                              | Springburn Academy                   |
|                              | St Andrew's Secondary                |
|                              | St Margaret Mary's Secondary         |
|                              | St Mungo's Academy                   |
|                              | St Paul's High                       |
|                              | St Roch's Secondary                  |
|                              | Whitehill Secondary                  |
| Inverclyde Council           | Inverclyde Academy                   |
|                              | Port Glasgow High School             |
|                              | St Stephen's High                    |
| North Ayrshire Council       | Auchenharvie Academy                 |
|                              | Irvine Royal Academy                 |
| North Lanarkshire Council    | Bellshill Academy                    |
|                              | Braidhurst High School               |
|                              | Calderhead High                      |
|                              | Caldervale High                      |
|                              | Clyde Valley High                    |
|                              | Coatbridge High                      |
| Renfrewshire Council         | Linwood High School                  |
| South Ayrshire Council       | Ayr Academy                          |
| South Lanarkshire Council    | Cathkin High                         |
|                              | Larkhall Academy                     |
| West Dunbartonshire Council  | Vale of Leven Academy                |
| <b>SHEP region: Lift OFF</b> |                                      |
| Angus                        | Arbroath Academy                     |
|                              | Brechin High School                  |
| Dundee City                  | Baldragon Academy                    |
|                              | Braeview Academy                     |
|                              | Craigie High School                  |
|                              | Menzieshill High School <sup>2</sup> |
|                              | St Paul's RC Academy                 |
| Fife                         | Beath High School                    |
|                              | Glenwood High School                 |
|                              | Levenmouth Academy <sup>3</sup>      |
|                              | Lochgelly High School                |
|                              | Viewforth High School                |
|                              | Woodmill High School                 |

<sup>2</sup> In August 2016, Harris Academy opened as a merged school of Menziehill High School and Harris Academy. SFC-funded programmes have retained links with Menziehill High School, although the school no longer exists under that name.

<sup>3</sup> Please note that Buckhaven and Kirkland merged to form Levenmouth Academy

## Measure 4: The number and proportion of Scotland-domiciled undergraduate entrants by different protected characteristic groups and care experienced students

|  |  |  |
|--|--|--|
| <b>Numerator</b>                                       | The number of Scotland-domiciled undergraduate entrants in each protected characteristic category  |  |
| <b>Denominator</b>                                     | The number of Scotland-domiciled undergraduate entrants  |  |
| <b>Data</b>  | SFC Standard Population  |  |
| <b>Term</b>  | <b>Definition / Description</b>  | <b>Notes</b>   |
| <b>Denominator (for each protected characteristic)</b> | <p>All Scotland-domiciled undergraduate entrant students in the SFC Standard Population, <b>EXCLUDING</b> those who are:</p> <ul style="list-style-type: none"> <li>• Incoming exchange students</li> <li>• 'Writing up' students</li> <li>• 'Sabbatical' students</li> <li>• 'Dormant' students</li> <li>• Students for whom the 'date left institution or completed programme of study' is before 1 August of academic year</li> </ul> | <p>Incoming exchange students - <b>EXCHANGE PROGRAMMES (EXCHANGE) = 4, G, Z</b><br/>           Writing up student - <b>MODE OF STUDY (MODE) = 43, 44</b><br/>           Sabbatical' students - <b>MODE OF STUDY (MODE) = 51</b><br/>           Dormant' students - <b>MODE OF STUDY (MODE) = 63, 64</b><br/>           Students for whom the 'date left institution or completed programme of study' is before 1 August of academic year - <b>END DATE OF INSTANCE (ENDDATE) IS BEFORE '01AUGXXXX'</b></p> |
| <b>Numerator</b>                                       | All Scotland-domiciled undergraduate entrant students (excluding those as above) in each protected characteristic category.  |  |
|  | Gender   | <p><b>For 2012/13 onwards</b><br/>           Male - <b>SEX IDENTIFIER (SEXID) = 1</b><br/>           Female - <b>SEX IDENTIFIER (SEXID) = 2</b><br/>           Other - <b>SEX IDENTIFIER (SEXID) = 3</b></p>   |
|  | Age  | <p>Under 21 - <b>XAGEA01 LESS THAN 21</b><br/>           21 and over - <b>XAGEA01 GREATER THAN OR EQUAL TO 21</b><br/> <b>XAGEA01</b> is a HESA derived field.</p>   |
|  | Disability   | <p>No known disability - <b>DISABILITY (DISABLE) = 00</b><br/>           Disability - <b>DISABILITY (DISABLE) = 01 TO 96</b><br/>           Not known - <b>DISABILITY (DISABLE) = 97,98,99</b></p>   |
|  | Ethnicity  | <p><b>For 2012/13 onwards</b><br/>           White - <b>ETHNICITY (ETHNIC) = 10 TO 19</b><br/>           BME - <b>ETHNICITY (ETHNIC) = 21 to 80</b><br/>           Not Known - <b>ETHNICITY (ETHNIC) = 90,98</b></p>   |
|  | Care experienced students  | <p><b>From 2013-14:</b><br/>           Care experienced in Scotland - <b>CARELEAVER = 02</b><br/>           Care experienced in the rest of UK - <b>CARELEAVER = 03</b><br/>           UCAS defined care leaver - <b>CARELEAVER = 04</b></p>   |
| <b>Scotland-domiciled</b>                              | Scotland-domiciled as recorded on HESA.  | Scotland-domiciled - <b>XDOMHM01 = 2</b><br><b>XDOMHM01</b> is a HESA derived field.   |
| <b>Undergraduate</b>                                   | Any student undertaking an undergraduate course.   | See <b>Undergraduate level</b> in <b>General Notes</b> section   |
| <b>Entrant</b>   | An entrant is a student deemed to have started their instance in the academic year. A student may have previously attended the institution but is still considered an entrant if they are starting a new instance.   | Entrant - <b>START DATE OF INSTANCE (COMDATE) IS AFTER OR EQUAL TO '01AUGXXXX'</b>   |

## Measure 5: The number and proportion of full-time first year Scotland-domiciled entrants from different protected characteristic groups and care experienced students returning to study in year two

|                           |  |  |
|---------------------------|--|--|
| <b>Numerator</b>          | The number of Scotland-domiciled full-time undergraduate entrants who are continuing in higher education or have qualified after their first year (in each group of interest)  |  |
| <b>Denominator</b>        | Scotland-domiciled full-time undergraduate entrants in PIT3 population (with duplicates removed)   |  |
| <b>Data</b>               | <p>The SFC dataset (PIT3) used to produce these tables comes from the HESA <b>t3_underlyingdata_XX_XX</b> dataset, used to create their PIs on non-continuation rates (Table T3). <b><i>This measure only reports on full-time students.</i></b></p> <p>Information on the HESA PIs is available on their website: <a href="#">Performance Indicators in Higher Education in the UK Non-continuation rates (including projected outcomes) (tables T3, T4, T5)</a></p> <p>The field (<b>T3_MARKER</b>) which indicates if the student is a continuing or non-continuing student</p> <p>SFC link the PIT3 dataset to the AYCOR dataset to obtain information on gender, age, disability, ethnicity and care experienced students</p> |  |
| <b>Term</b>               | <b>Definition / Description</b>  | <b>Notes</b>   |
| <b>Denominator</b>        | Scotland-domiciled full-time undergraduate entrants in PIT3 population, with one record per student instance, i.e. duplicate records relating to the same student instance but relating to the different subject(s) of course aim are removed.   | See section <b>Population (applicable to T1, T2, T3, T4, T5, T6, T7)</b> in: <a href="#">Definition of Terms</a>   |
| <b>Numerator</b>          | The number of Scotland-domiciled full-time undergraduate entrants who are continuing in HE or who have qualified.  | See section <b>Non-continuation data (applicable to T3, T4, T5)</b> in: <a href="#">Definition of Terms</a><br>The field <b>T3_MARKER</b> is used to select:<br>Continuing student - <b>T3_MARKER = 1,2</b><br>Non-continuing student - <b>T3_MARKER = 3</b> |
| <b>Full-time</b>          | The PIT3 dataset is restricted to full-time students.  | See section <b>Mode of study (applicable to tables T1, T2, T3, T4, T5, T6)</b> in: <a href="#">Definition of Terms</a>   |
| <b>Undergraduate</b>      | Any student undertaking an undergraduate course.   | See <b>Undergraduate level</b> in <b>General Notes</b> section   |
| <b>Scotland-domiciled</b> | Scotland-domiciled as recorded on HESA.  | Scotland-domiciled - <b>XDOMHM01 = 2</b><br><b>XDOMHM01</b> is a <a href="#">HESA derived field</a> .  |
| <b>Entrant</b>            | An entrant is a student deemed to have started their instance in the academic year. A student may have previously attended the institution but is still considered an entrant if they are starting a new instance.   | See section <b>Entrants (applicable to T1, T2, T3a-T3d, T4)</b> in: <a href="#">Definition of Terms</a>  |

|  |  |  |
|--|--|--|
| <b>Numerator – Deprivation</b>               | The number of Scotland-domiciled full-time undergraduate entrants who are continuing with a valid postcode from an MD20 or MD 40 area.   | <b>MD20</b> - The 20% most deprived areas<br><b>MD40</b> - The 40% most deprived areas (also contains the 20% most deprived areas)<br>See <b>Scottish Index of Multiple Deprivation</b> in section <b>General Notes</b>  |
| <b>Numerator - Protected characteristics</b> | The number of Scotland-domiciled full-time undergraduate entrants who are continuing in each protected characteristic category   |  |
|  | <b>Gender</b>  | The field used to record gender was changed for the 2012-13 collection. <a href="#">Further information on the change is available on the HESA website.</a><br><br><b>For 2012/13 onwards</b><br>Male - <b>SEX IDENTIFIER (SEXID) = 1</b><br>Female - <b>SEX IDENTIFIER (SEXID) = 2</b><br>Other - <b>SEX IDENTIFIER (SEXID) = 3</b>             |
|  | <b>Age</b>   | Under 21 - <b>XAGEA01 LESS THAN 21</b><br>21 and over - <b>XAGEA01 GREATER THAN OR EQUAL TO 21</b><br><b>XAGEA01</b> is a HESA derived field.  |
|  | <b>Disability</b>  | No known disability - <b>DISABILITY (DISABLE) = 00</b><br>Disability - <b>DISABILITY (DISABLE) = 01 TO 96</b><br>Not known - <b>DISABILITY (DISABLE) = 97,98,99</b>  |
|  | <b>Ethnicity</b>   | The codes used to record ethnicity were changed for the 2012-13 collection. <a href="#">Further information on the change is available on the HESA website.</a><br><br><b>For 2012/13 onwards</b><br>White - <b>ETHNICITY (ETHNIC) = 10 TO 19</b><br>BME - <b>ETHNICITY (ETHNIC) = 21 to 80</b><br>Not Known - <b>ETHNICITY (ETHNIC) = 90,98</b> |
| <b>Care experienced students</b>             | This field was introduced for the 2013-14 collection. <a href="#">Further information on the change is available on the HESA website.</a><br><br>Care experienced in Scotland - <b>CARELEAVER = 02</b><br>Care experienced in the rest of UK - <b>CARELEAVER = 03</b><br>UCAS defined care leaver - <b>CARELEAVER = 04</b> |  |

**Scottish Government strategic priority: High quality learning in a learning system which is seamlessly connected for the learner, including learning which prepares people well for the world of work, prioritising provision that meets known skills gaps in the economy**

**Measure 6: The number and proportion of full-time first year Scotland-domiciled undergraduate entrants returning to study in year two**

|                           |   |  |
|---------------------------|---|--|
| <b>Numerator</b>          | The number of Scotland-domiciled full-time undergraduate entrants who are continuing in higher education or have qualified after their first year (in each group of interest)   |  |
| <b>Denominator</b>        | Scotland-domiciled full-time undergraduate entrants in PIT3 population (with duplicates removed)  |  |
| <b>Data</b>               | <p>The SFC dataset (PIT3) used to produce these tables comes from the HESA <b>t3_underlyingdata_XX_XX</b> dataset, used to create their PIs on non-continuation rates (Table T3). <i>This measure only reports on full-time students.</i></p> <p>Information on the HESA PIs is available on their website: <a href="#">Performance Indicators in Higher Education in the UK</a></p> <p><a href="#">Non-continuation rates (including projected outcomes) (tables T3, T4, T5)</a></p> <p>SFC link the PIT3 dataset to the AYCOR dataset to obtain information on gender, age, disability and ethnicity.</p> |  |
| <b>Denominator</b>        | Scotland-domiciled full-time undergraduate entrants in PIT3 population, with one record per student instance, i.e. duplicate records relating to the same student instance but relating to the different subject(s) of course aim are removed.  | See section <b>Population (applicable to T1, T2, T3, T4, T5, T6, T7)</b> in: <a href="#">Definition of Terms</a>   |
| <b>Numerator</b>          | The number of Scotland-domiciled full-time undergraduate entrants who are continuing or who qualified at the same HEI.  | See section <b>Non-continuation data (applicable to T3, T4, T5)</b> in: <a href="#">Definition of Terms</a><br>The field <b>T3_MARKER</b> is used to select:<br>Continuing student - <b>T3_MARKER = 1,2</b><br>Non-continuing student - <b>T3_MARKER = 3</b> |
| <b>Full-time</b>          | The PIT3 dataset is restricted to full-time students.   | See section <b>Mode of study (applicable to tables T1, T2, T3, T4, T5, T6)</b> in: <a href="#">Definition of Terms</a>   |
| <b>Under-graduate</b>     | Any student undertaking an undergraduate course.  | See <b>Undergraduate level</b> in <b>General Notes</b> section   |
| <b>Scotland-domiciled</b> | Scotland-domiciled as recorded on HESA.   | Scotland-domiciled - <b>XDOMHM01 = 2</b><br><b>XDOMHM01</b> is a <a href="#">HESA derived field</a> .  |
| <b>Entrant</b>            | An entrant is a student deemed to have started their instance in the academic year. A student may have previously attended the institution but is still considered an entrant if they are starting a new instance.  | See section <b>Entrants (applicable to T1, T2, T3a-T3d, T4)</b> in: <a href="#">Definition of Terms</a>  |

**Measure 7: The difference (+/-) from the individual institution's benchmark figure for students satisfied with the overall quality of their course of study in the National Student Survey**

|   |  |   |
|---|--|---|
| <b>Table</b>  | 'Q22 institutions (benchmarked)'   |   |
| <b>Data</b>   | Table available in ' <b>2016 National Student Survey summary data</b> ' in the <b>National Student Survey</b> section of the <b>HEFCE</b> website:<br><br><a href="http://www.hefce.ac.uk/lt/nss/results/2016/">http://www.hefce.ac.uk/lt/nss/results/2016/</a>  |   |
| <b>Term</b>   | <b>Definition / Description</b>  | <b>Notes</b>  |
| <b>The difference (+/-) between the actual and benchmarked figure</b> | The difference (+/-) between the actual and benchmarked figure for <b>taught</b> students.   | Question 22 in the NSS asks 'Overall, I am satisfied with the quality of the course'. Percentage scores are for respondents who 'definitely' or 'mostly' agreed with this question in the NSS. The <a href="#">full NSS results for 2015</a> , and previous years surveys, are available on the Unistats website. |
|   | <p>HEFCE have produced benchmarks for each UK institution for this question that take into account each HEIs mix of students making for a more reliable and robust comparison between institutions.</p> <p>Taught figures show satisfaction for students against the institution at which they spent the majority of their first year, as opposed to the 'Registered' figures which show students against the institution that they are registered with and may differ from the institution providing the teaching.</p> <p>There is no separate data for the Open University Scotland, as all UK OU students were included in a single return.</p> |   |

## Measure 8: The number and proportion of Scotland-domiciled undergraduate entrants to STEM courses

|                           |  |  |
|---------------------------|--|--|
| <b>Numerator</b>          | The number of Scotland-domiciled undergraduate entrants to STEM courses  |  |
| <b>Denominator</b>        | The number of Scotland-domiciled undergraduate entrants  |  |
| <b>Data</b>               | <p>The SFC dataset used to produce this table is derived from the CORE files the institutions receive from HESA. SFC adjust the CORE files to exclude students not counted in our own standard population i.e. <b>EXCLUDING</b> those who are:</p> <ul style="list-style-type: none"> <li>• Incoming exchange students</li> <li>• 'Writing up' students</li> <li>• 'Sabbatical' students</li> <li>• 'Dormant' students</li> <li>• Students for whom the 'date left institution or completed programme of study' is before 1 August of academic year</li> </ul> |  |
| <b>Term</b>               | <b>Definition / Description</b>  | <b>Notes</b>   |
| <b>Denominator</b>        | <p>All Scotland-domiciled undergraduate entrant students <b>EXCLUDING</b> those who are:</p> <ul style="list-style-type: none"> <li>• Incoming exchange students</li> <li>• 'Writing up' students</li> <li>• 'Sabbatical' students</li> <li>• 'Dormant' students</li> <li>• Students for whom the 'date left institution or completed programme of study' is before 1 August of academic year</li> </ul>   | <p>Incoming exchange students - <b>EXCHANGE PROGRAMMES (EXCHANGE) = 4, G, Z</b><br/>           Writing up student - <b>MODE OF STUDY (MODE) = 43, 44</b><br/>           Sabbatical' students - <b>MODE OF STUDY (MODE) = 51</b><br/>           Dormant' students - <b>MODE OF STUDY (MODE) = 63, 64</b><br/>           Students for whom the 'date left institution or completed programme of study' is before 1 August of academic year - <b>END DATE OF INSTANCE (ENDDATE) IS BEFORE '01AUGXXXX'</b></p> |
| <b>Numerator</b>          | All Scotland-domiciled undergraduate entrant students (excluding those as above) undertaking a STEM Subject group course.  |  |
| <b>Scotland-domiciled</b> | Scotland-domiciled as recorded on HESA.  | Scotland-domiciled - <b>XDOMHM01 = 2</b><br><b>XDOMHM01</b> is a HESA derived field.   |
| <b>Undergraduate</b>      | Any student undertaking an undergraduate course.   | See <b>Undergraduate level</b> in <b>General Notes</b> section   |
| <b>Entrant</b>            | An entrant is a student deemed to have started their instance in the academic year. A student may have previously attended the institution but is still considered an entrant if they are starting a new instance.   | Entrant - <b>START DATE OF INSTANCE (COMDATE) IS AFTER OR EQUAL TO '01AUGXXXX'</b>   |

|                                  |   |   |
|----------------------------------|---|---|
| <p><b>STEM Subject group</b></p> | <p>The student is on a course where at least one of the subjects of course aim is a "STEM" subject.</p> | <p>Subject group is derived from the <b>Subject of Course Aim (SBJCA)</b> field.</p> <p><b>SBJCA</b> uses the Joint Academic Coding System (JACS) code to describe the subject(s) appropriate to the current course. The courses have been categorised using the first letter of the 4-digit JACS code as follows:</p> <p><b>STEM</b></p> <ul style="list-style-type: none"> <li>C – Biological Sciences</li> <li>D - Veterinary Sciences, Agriculture and Related Subjects (Excluding D1, D2 – Pre-clinical and Clinical Veterinary Medicine and Dentistry)</li> <li>F - Physical Sciences</li> <li>G - Mathematical Sciences</li> <li>H - Engineering</li> <li>I - Computer Sciences</li> <li>J - Technologies</li> </ul> |
|----------------------------------|---|---|

## Measure 9a: The number and proportion of Scotland-domiciled undergraduates entering positive destinations

|                           |   |   |
|---------------------------|---|---|
| <b>Numerator</b>          | The number of Scotland-domiciled respondents who enter positive destinations  |   |
| <b>Denominator</b>        | The number of Scotland-domiciled respondents to the Destinations of Leavers in Higher Education (DLHE) survey   |   |
| <b>Data</b>               | The SFC dataset used to produce this table is derived from the Destinations of Leavers in Higher Education (DLHE) survey data provided by HESA.   |   |
| <b>Term</b>               | <b>Definition / Description</b>   | <b>Notes</b>  |
| <b>Target population</b>  | All Scotland-domiciled undergraduate students, counted within the DLHE Target population  | DLHE population - <b>XDDLHE02=1</b><br><b>XDDLHE02</b> is a HESA derived field  |
| <b>Scotland-domiciled</b> | Scotland-domiciled as recorded on HESA.   | Scottish domiciled - <b>XDOMHM01 = 2</b><br><b>XDOMHM01</b> is a HESA derived field.  |
| <b>Undergraduate</b>      | Any student obtaining an undergraduate qualification.   | Level of qualification in DLHE - 5 way split - <b>XDLEV501= 3</b> or DLHE highest qualification obtained - <b>XOBTND01</b> in ('C20','C30','J20','J26','J30') |
| <b>Denominator</b>        | <p>From <b>Target population</b> those with Employment activity coded as:</p> <p>01 - Full-time work<br/>02 - Part-time work<br/>03 - Primarily in work and also studying<br/>04 - Primarily studying and also in work<br/>05 - Full-time study<br/>06 - Part-time study<br/>07 - Due to start work<br/>08 - Unemployed</p> <p>Students coded as below are <b>EXCLUDED</b>.<br/>09 - Other<br/>XX - Ineligibility or explicit refusal<br/>If XACTIV02 is blank then - Missing / no response</p> | Employment activity - <b>XACTIV02</b><br><b>XACTIV02</b> is a HESA derived field.   |
| <b>Numerator</b>          | <p>From <b>Target population</b> those with Employment activity coded as:</p> <p>01 - Full-time work<br/>02 - Part-time work<br/>03 - Primarily in work and also studying<br/>04 - Primarily studying and also in work<br/>05 - Full-time study<br/>06 - Part-time study</p>  | Employment activity - <b>XACTIV02</b><br><b>XACTIV02</b> is a HESA derived field.   |

## Measure 9b: The number and proportion of Scotland-domiciled full-time first degree respondents entering professional occupations

|                               |   |   |
|-------------------------------|---|---|
| <b>Numerator</b>              | The number of Scotland-domiciled full-time first degree respondents who are working in a professional occupation  |   |
| <b>Denominator</b>            | The number of Scotland-domiciled respondents to the DLHE survey that are economically active (i.e. have entered or are seeking employment)  |   |
| <b>Data</b>                   | The SFC dataset used to produce this table is derived from the Destinations of Leavers in Higher Education (DLHE) survey data provided by HESA.   |   |
| <b>Term</b>                   | <b>Definition / Description</b>   | <b>Notes</b>  |
| <b>Target population</b>      | All Scotland-domiciled undergraduate students, counted within the DLHE Target population  | As above  |
| <b>Scotland-domiciled</b>     | Scotland-domiciled as recorded on HESA.   | As above  |
| <b>Full-time First Degree</b> | Any student obtaining a full-time First-degree qualification.   | As above  |
| <b>Denominator</b>            | <p>From <b>Target population</b> those with Employment activity coded as:</p> <p>01 - Full-time work<br/> 02 - Part-time work<br/> 03 - Primarily in work and also studying<br/> 07 - Due to start work<br/> 08 - Unemployed</p> <p>Students coded as below are <b>EXCLUDED</b>.<br/> 04 - Primarily studying and also in work<br/> 05 - Full-time study<br/> 06 - Part-time study<br/> 09 - Other<br/> XX - Ineligibility or explicit refusal<br/> If XACTIV02 is blank then - Missing / no response</p> | Employment activity - <b>XACTIV02</b><br><b>XACTIV02</b> is a HESA derived field.         |
| <b>Numerator</b>              | <p>From <b>Target population</b> those with Standard Occupational Classification coded as:</p> <p>1 - Managers, directors and senior officials<br/> 2 - Professional occupations<br/> 3 - Associate professional and technical occupations</p>  | Standard Occupational Classification - <b>XSOCD02</b><br>XSOCD02 is a HESA derived field. |

## Scottish government priority: internationally competitive and impactful research

### Measure 10: Number of research postgraduate students

|              |   |
|--------------|---|
| <b>Table</b> | <b>Research postgraduate students</b><br>The table used comes from HESA and can be found on the HEIDI+ portal or from each institution's own HESA return. |
|--------------|---|

### Measure 11: Amount and percentage share of income from the UK Research Councils

|                       |  |
|-----------------------|--|
| <b>Table</b>          | Table 5a: Total Research Grants and Contracts  |
| <b>Numerator</b>      | Institution's total income from research council Total Research Grants and Contracts   |
| <b>Denominator(s)</b> | 1. Income from research councils for all Scottish HEIs (% share of Scottish income)<br>2. Income from research councils for all UK HEIs (% share of UK income) |
| <b>Data</b>           | The data used to produce these tables comes from HESA and can be found on the HEIDI+ portal on the or from each institution's own HESA return.                 |

| Term        | Definition  | Notes  |
|-------------|---|--|
| Numerator   | Sum of:<br><br><b>BBSRC, MRC, NERC, EPSRC, ESRC, AHRC and STFC income</b>                                       | Further information on this is available from the HESA website under <a href="#">FSR with HE-BCI Survey collection</a> section.<br><br>See section:<br><b>FSR Tables 5a and 5b - Research grants and contracts</b> |
| Denominator | <b>1. Sum of BBSRC, MRC, NERC, EPSRC, ESRC, AHRC and STFC for all Scottish institutions (% Scottish income)</b> | Further information on this is available from the HESA website under <a href="#">FSR with HE-BCI Survey collection</a> section.<br><br>See section:<br><b>FSR Tables 5a and 5b - Research grants and contracts</b> |
|             | <b>2. Sum of BBSRC, MRC, NERC, EPSRC, ESRC, AHRC and STFC for all UK institutions (% UK income)</b>             |  |

### Measure 12: Total research income from all sources

|              |   |
|--------------|---|
| <b>Table</b> | The data used to produce these tables comes from HESA and can be found on the HEIDI+ portal or from each institution's own HESA return. |
|--------------|---|

**Scottish Government priority: effective knowledge exchange and innovation including excellent collaboration between universities and industry**

**Measure 13: The number of SFC Innovation Vouchers (IVs), Follow-on IVs and H2020 IVs**

|                               |  |   |
|-------------------------------|--|---|
| <b>Data</b>                   | Data is held by <a href="#">Interface</a>  |   |
| <b>Term</b>                   | <b>Definition</b>  | <b>Notes</b>  |
| Innovation vouchers           | The Innovation Voucher Scheme provides vouchers worth up to £5k for collaborative projects between HEIs and SMEs.  | For further information see the <a href="#">Interface website</a> . |
| Follow-on Innovation Vouchers | Follow-on vouchers are aimed at building sustained relationships between SMEs and HEIs in Scotland which will lead to new products, services and processes that will benefit the business, the institution and the Scottish economy. | For further information see the <a href="#">Interface website</a> . |

**Scottish Government priority: ensuring provision of quality learning in Scottish higher education institutions, i.e. HE strategic futures, Quality Assurance and HE governance**

**Measure 14: Gross carbon footprint (3 year period)**

|                        |   |   |
|------------------------|---|---|
| <b>Table</b>           | Metrics: Towards Climate Change (Scotland) Act 2009   |   |
| <b>Data</b>            | <p>The table is included in the <b>CCAP Progress Report</b> submitted to EAUC Scotland, under the Carbon Commitment for Scotland.</p> <p>Further details are available in the <a href="#">Climate Change Action Plans section</a> of the <b>Universities and Colleges Climate Commitment for Scotland (UCCCFs)</b> website:</p> |   |
| <b>Term</b>            | <b>Definition / Description</b>   | <b>Notes</b>  |
| Gross carbon footprint | <b>Total of Scope 1, Scope 2 and Scope 3 emissions as recorded under Gross Emissions (tonnes CO2e) section of the report template Metrics: Towards Climate Change (Scotland) Act 2009.</b>  | A copy of the report template and further guidance is available on the <a href="#">EAUC website</a> . |