
AUDIT AND COMPLIANCE COMMITTEE MEETING

The 64th meeting of the Audit and Compliance Committee of the Scottish Funding Council

DATE: 22 August 2022

TIME: 10.00 am (to be preceded at 9.00am by private meetings with SFC Executive, internal and external auditors)

VENUE: Scottish Enterprise,
Apex 1, 99 Haymarket Terrace, Edinburgh

AGENDA

GENERAL BUSINESS

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| 1. | Chair's Business | Oral | |
| 2. | Declarations of interest | Oral | |
| 3. | Minutes of previous meeting: 9 June 2022 | ACC/22/Min02 | For decision |
| 4. | Matters arising | ACC/22/27 | For decision |

This paper will be published on the Council website in edited format, as it contains information which will be withheld under the Freedom of information (Scotland) Act 2002, Section 30: Prejudicial to the effective conduct of public affairs.

REGULARITY, COMPLIANCE AND RISK

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| 5. | Business Resilience and Policy Recovery Update

The Business Resilience & Recovery Policy Statement will be published on the Council website following approval by the Board. | ACC/22/28 | For decision |
| 6. | Monitoring and evaluation of strategic projects

This paper will be withheld from publication on the Council website under the Freedom of Information (Scotland) Act 2002, Section 30: Prejudicial to the effective conduct of public affairs. | ACC/22/29 | To note |

EXTERNAL AUDIT AND ACCOUNTS

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| 7. | Governance statement 2021-22 | Oral | To note |
| 8. | External Auditor covering letter including Letter of Representation

This paper will be withheld from publication on the Council website under the Freedom of Information (Scotland) Act 2002, Section 30: Prejudicial to the effective conduct of public affairs. | ACC/22/30 | To note |
| 9. | External Auditor Draft Annual Audit Report 2021-22

This paper will be withheld from publication on the Council website under the Freedom of Information (Scotland) Act 2002, Section 27, Information intended for future publication. It will be published on the Audit Scotland website after SFC's annual accounts have been published. | ACC/22/31 | To note |
| 10. | SFC final draft annual report and accounts, year ended 31 March 2022

This paper will be withheld from publication on the SFC website under the Freedom of information (Scotland) Act 2002, under Section 27. It must be laid before Parliament first. | ACC/22/32 | For decision |

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| 11. | Evaluation questionnaires and ACC Annual Report | ACC/22/33 | For decision |
| | This paper will be withheld from publication on SFC's website under the Freedom of Information (Scotland) Act 2002, Section 30: Prejudicial to the effective conduct of public affairs. | | |

INTERNAL AUDIT

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| 12. | Follow-up of internal audit recommendations | ACC/22/34 | To note |
| | This paper will be withheld from publication on SFC's website under the Freedom of Information (Scotland) Act 2002, Section 30: Prejudicial to the effective conduct of public affairs. | | |
| 13. | Internal audit reports | | |
| | 13.1 Health and Safety | ACC/22/35 | To note |
| | 13.2 Internal Audit Progress Report 2022-23 | ACC/22/36 | To note |
| | These papers will be withheld from publication on SFC's website under the Freedom of Information (Scotland) Act 2002, Section 30: Prejudicial to the effective conduct of public affairs. | | |

BY CORRESPONDENCE

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| 14. | Annual report on legal and regulatory compliance | ACC/22/37 | To note |
| 15. | Information governance annual update (incl. GDPR, Freedom of Information, and Records Management) | ACC/22/38 | To note |
| | These papers will be withheld from publication on SFC's website under the Freedom of Information (Scotland) Act 2002, Section 30: Prejudicial to the effective conduct of public affairs. | | |
| 16. | Best Value Implementation Plan annual update | ACC/22/39 | To note |
| 17. | Risk Register | ACC/22/40 | To note |
| | This paper will be withheld from publication on SFC's website under the Freedom of Information (Scotland) Act 2002, Section 30: Prejudicial to the effective conduct of public affairs. | | |

SFC GOVERNANCE

18. Annual agenda plan ACC/22/41 For decision

Date of next meeting: **8 December 2022**