
SFC Annual Complaints Report

Introduction

1. SFC values complaints and uses information from them to help improve the organisation. SFC is committed to being an open and transparent organisation that operates to the highest standards of public sector administration and management. Despite this, we recognise that occasionally things may go wrong and learn from this.
2. The number of complaints SFC receives is low in relation to the overall volume of engagement with higher and further education institutions and other stakeholders. However, upheld complaints (and in some cases, not upheld complaints) demonstrate that SFC takes these complaints seriously and acknowledges when something goes wrong. The outcomes of these complaints help us to learn and improve in the future.
3. This annual report provides a summary of SFC's received and responded to complaints received during the period 1 October 2023 to 30 September 2024, including a summary of outcomes, actions and learning for SFC, to promote continuous improvement.

Statistics: 1 October 2023 – 30 September 2024

4. The table below shows the complaints SFC received and performance against the Scottish Public Service Ombudsman's indicators. In relation to the complaint which was handled at Stage 2, this was not closed within 20 working days as this was a complex case.

Table 1: Complaints received and performance against SPSO indicators

SPSO Indicator	Response
Indicator one: total number of complaints received	Two
Indicator two: the number and percentage of complaints at each stage that were closed in full within the set timescales of five (stage 1 complaints) or 20 working days (stage 2 complaints).	<ul style="list-style-type: none"> The number of complaints closed in full at stage 1 within five working days as % of all stage 1 complaints responded to in full: one at stage 1 (100%). The number of complaints closed in full at stage 2 within 20 working days as % of all stage 2 complaints responded to in full: zero at stage 2 (0%). The number of complaints closed in full after escalation within 20 working days as % of all complaints responded to in full after escalation: N/A (none were escalated from stage 1 to stage 2).
Indicator three: the average time in working days for a full response to complaints at each stage.	<ul style="list-style-type: none"> The average time in working days to respond to complaints at stage 1: one working day. The average time in working days to respond to complaints at stage 2: 40 working days. The average time in working days to respond to complaints after escalation: N/A as there was no escalation.
Indicator four: the outcome of complaints at each stage. There are four outcome categories: resolved, upheld, partially upheld or not upheld.	<ul style="list-style-type: none"> The number of complaints (a) upheld, (b) partially upheld, (c) not upheld and (d) resolved as a % of all complaints closed at stage 1: upheld: 0%, Partially upheld: 0%, Not upheld: 0% and Resolved: 100%. The number of complaints (a) upheld, (b) partially upheld, (c) not upheld and (d) resolved as a % of all complaints closed at stage 2. Upheld: 0%, Partially upheld: 100%, Not upheld: 0% and resolved: 0%. The number of complaints (a) upheld, (b) partially upheld, (c) not upheld and (d) resolved after escalation as a % of all complaints closed after escalation. N/A as no complaints escalated.

Findings, Learning and outcomes

5. Actions taken as a result of the complaints SFC has received and closed during the last year are outlined in Table 2 below:

Table 2: findings, learning and outcomes

SFC case reference	Stage	Complaint	Head of complaint outcome	Learning
SFC122024	Stage 2	One element of the complaint related to misleading statements being provided in response to a subject access request.	This element of the complaint was upheld.	Recommendation made to the relevant Team in SFC to ensure it is identifying relevant information when undertaking a SAR response.
