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## AUDIT AND COMPLIANCE COMMITTEE MEETING

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The 65<sup>th</sup> meeting of the Audit and Compliance Committee of the Scottish Further and Higher Education Funding Council

Date: 8 December 2022

Time: 10.00 am

Venue: video conference

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## AGENDA

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### General Business

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| 1. Chair's Business                            | Oral       |              |
| 2. Declarations of interest                    | Oral       |              |
| 3. Minutes of previous meeting: 22 August 2022 | ACC/MIN/03 | For decision |

This paper will be published on the Council website in edited format, as it contains information which will be withheld under the Freedom of information (Scotland) Act 2002, Section 30: Prejudicial to the effective conduct of public affairs.

### External audit and account

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|--|------|---------|
| 4. Introduction to new external auditors | Oral | To note |
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### General business (cont.)

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| 5. Matters arising | ACC/22/42 | For decision |
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\* The Chair will ask at the start of the meeting whether members wish to discuss any of the starred items. If not, it will be assumed that the Council wishes to agree the recommendations of these particular papers without discussion.

## Regularity, compliance and risk management

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|----|--|-----------|--------------|
| 6. | Risk Management Strategy & Framework Update  | ACC/22/43 | To note      |
|    | This paper is withheld from publication under the Freedom of Information (Scotland) Act 2002, Section 30: Prejudicial to the effective conduct of public.  |           |              |
| 7. | Follow-up of audit recommendations   | ACC/22/44 | For decision |
|    | This paper will be published on the Council website in edited format as it includes information which – if disclosed – would inhibit the effective conduct of public affairs in accordance with the Freedom of Information (Scotland) Act 2002, Section 30: Prejudicial to the effective conduct of public.              |           |              |
| 8. | Update on Operating Plan   | ACC/22/45 | To note      |
|    | This paper will be withheld from publication as it contains information which – if disclosed – is likely to substantially prejudice, the free and frank provision of advice, the free and frank exchange of views for the purposes of deliberation or would otherwise prejudice the effective conduct of public affairs. |           |              |
| 9. | Procurement Report including IT overview   | ACC/22/46 | To note      |
|    | This paper will be published on the SFC website, except for Annex 3 which is withheld from publication on the Council's website under the Freedom of Information (Scotland) Act 2002, Section 30: prejudicial to the effective conduct of public affairs.  |           |              |

## Internal audit

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| 10. | Internal audit reports   |           |         |
|     | These papers are withheld from publication under the Freedom of Information (Scotland) Act 2002, Section 30: Prejudicial to the effective conduct of public. |           |         |
|     | 10.1 Internal Audit Progress Report  | ACC/22/47 | To note |
|     | 10.2 Cyber Security  | ACC/22/48 | To note |
|     | 10.3 IT Risk Assessment  | ACC/22/49 | To note |
|     | 10.4 Distribution of Grant Funding   | ACC/22/50 | To note |
|     | 10.5 Financial Controls  | ACC/22/51 | To note |

\* The Chair will ask at the start of the meeting whether members wish to discuss any of the starred items. If not, it will be assumed that the Council wishes to agree the recommendations of these particular papers without discussion.

## SFC Governance

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|-----|---|-----------|--------------|
| 11. | Review of non-core process and investments - progress update  | ACC/22/52 | To note      |
|     | <p>This paper will be withheld from publication as it contains information which – if disclosed – is likely to prejudice substantially, the free and frank provision of advice, the free and frank exchange of views for the purposes of deliberation or would otherwise prejudice the effective conduct of public affairs.</p> |           |              |
| 12. | Board Development Plan  | ACC/22/53 | To note      |
|     | <p>This paper will be published on the SFC website once it has been agreed by the SFC Board.</p>  |           |              |
| 13. | Annual agenda plan  | ACC/22/54 | For decision |
|     | <p>This paper will be published on the Council website following the meeting.</p>   |           |              |

Date of next meeting: **16 February 2023**

\* The Chair will ask at the start of the meeting whether members wish to discuss any of the starred items. If not, it will be assumed that the Council wishes to agree the recommendations of these particular papers without discussion.